



PATENT

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Chen

Serial No. 10/656,306

Filed: September 4, 2003

For: ASYNCHRONOUS HIDDEN
REFRESH OF SEMICONDUCTOR
MEMORY

Group Art Unit: 2818
Examiner: Unknown

Atty. Dkt. No. 5298-19203

I hereby certify that this correspondence is being deposited
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Alexandria, VA 22313, on the date indicated below:

5/4/04

Date

Kevin L. Daffer

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449
and/or ☐ the additional information identified below in paragraph 3. A copy of each reference listed on
the Form PTO-1449 is enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued
prosecution application under § 1.53(d);
☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in
an International application;
☒ before the mailing date of a first Office Action on the merits; or
☐ before the mailing of a first Office Action after the filing of a request for
continued examination under § 1.114.
- b. ☐ after the events of above paragraph 1a and prior to the mailing date of a final
Office Action or Notice of Allowance, and thus: ☐ the certification of
paragraph 2 below is provided, or ☐ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior
to payment of the issue fee, and thus: the certification of paragraph 2 below is
provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:

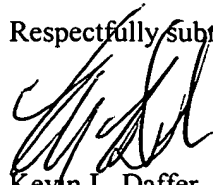
6. ☐ Also enclosed is a copy of a foreign search report citing these references.

7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.

8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Conley Rose, P.C. Deposit Account No. 03-2769/5298-19203.

Respectfully submitted,



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Date: 5/4/04

(Use several sheets if necessary)

FILING DATE: September 4, 2003

GROUP: 2818

[illegible]

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

	A1	Katayama, "Trends in Semiconductor Memories," IEEE Micro, Nov./Dec. 1997, pp. 10-17.

DATE CONSIDERED:

Information Disclosure Statement--PTO 1449 (modified)